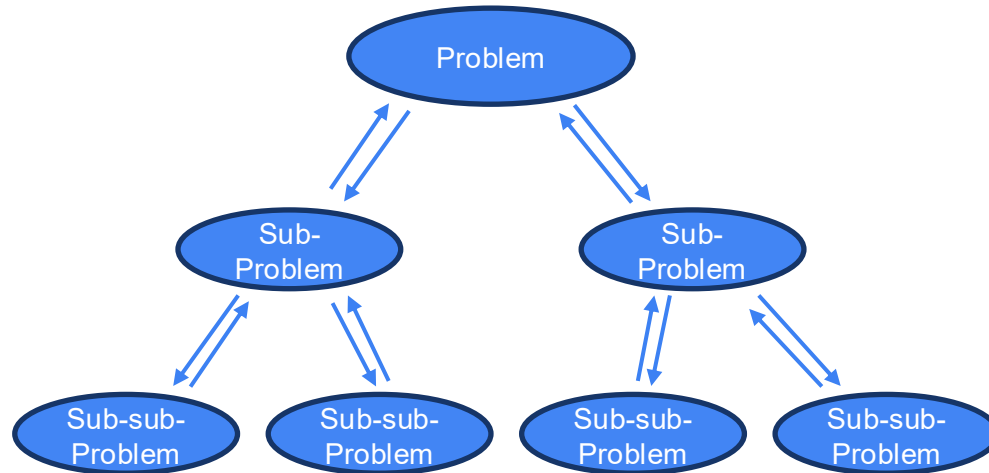


# Lecture 15

## Divide and Conquer I (take II)



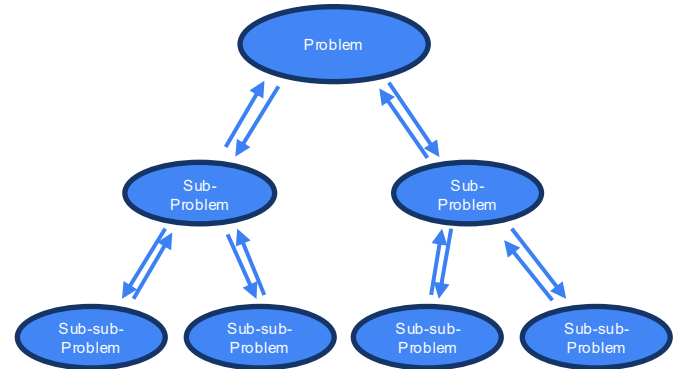
# Announcements

- ❖ **Homework 5 Reflection** due this Sunday night (3/29)
- ❖ **Group Meetings** continue
- ❖ **Individual Project 2** due next Sunday night (4/5)

# Divide and Conquer Idea

General Recipe (or pattern)

- ❖ Divide problem into several parts
- ❖ Solve each part recursively
- ❖ Combine solutions to sub-problem into overall solution



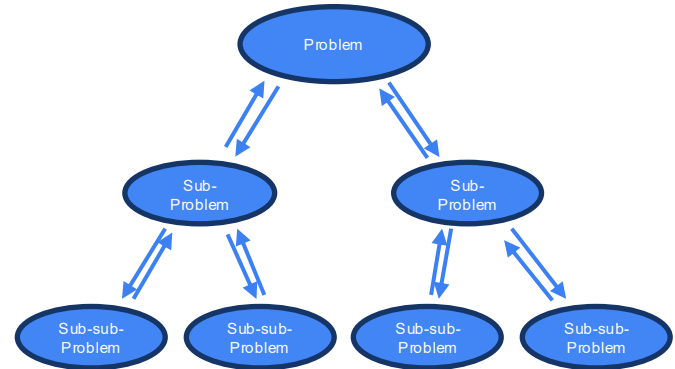
# Divide and Conquer Idea

General Recipe (or pattern)

- ❖ Divide problem into several parts
- ❖ Solve each part recursively
- ❖ Combine solutions to sub-problem into overall solution

Our Focus

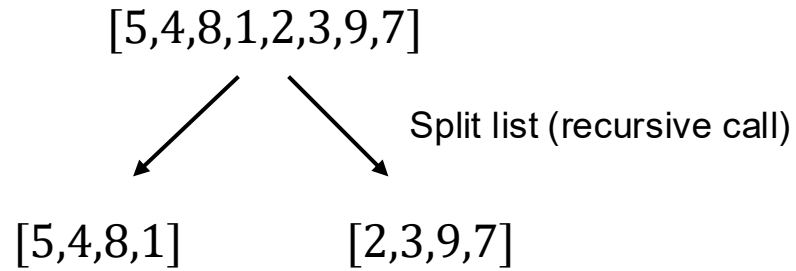
- ❖ Algorithm design
- ❖ Running time analysis



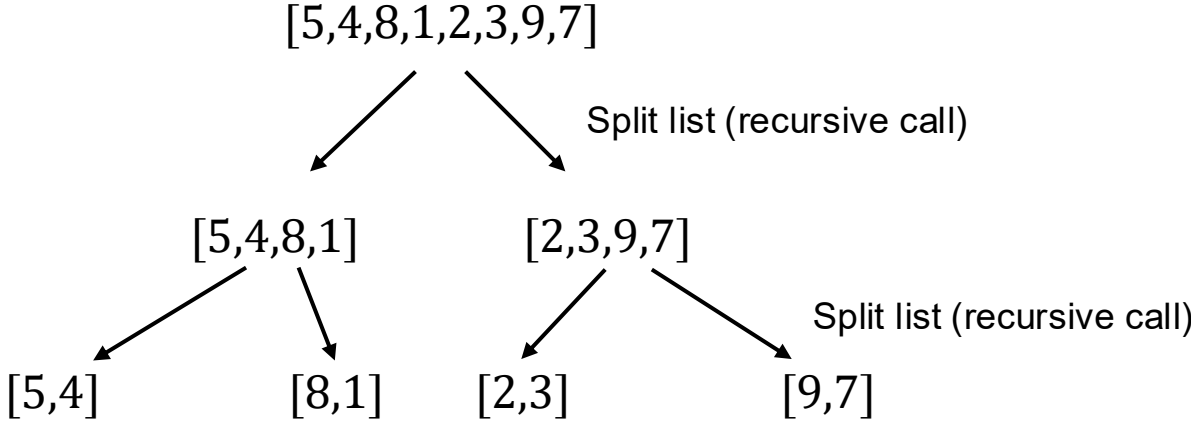
# MergeSort Example

[5,4,8,1,2,3,9,7]

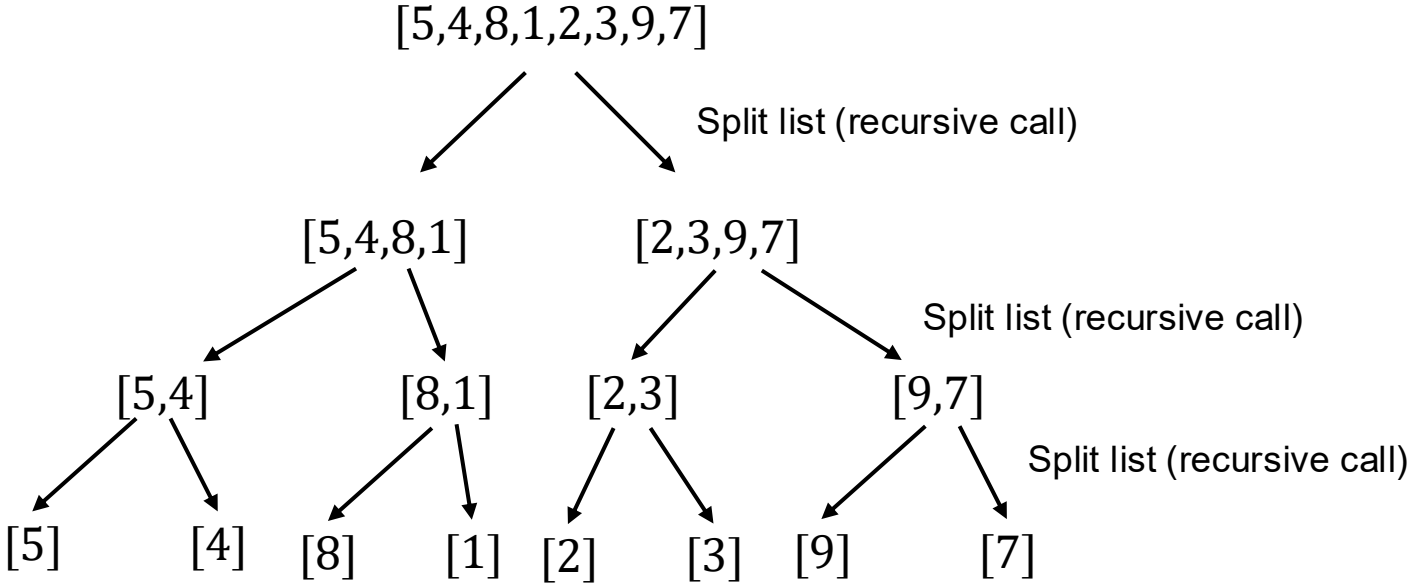
# MergeSort Example



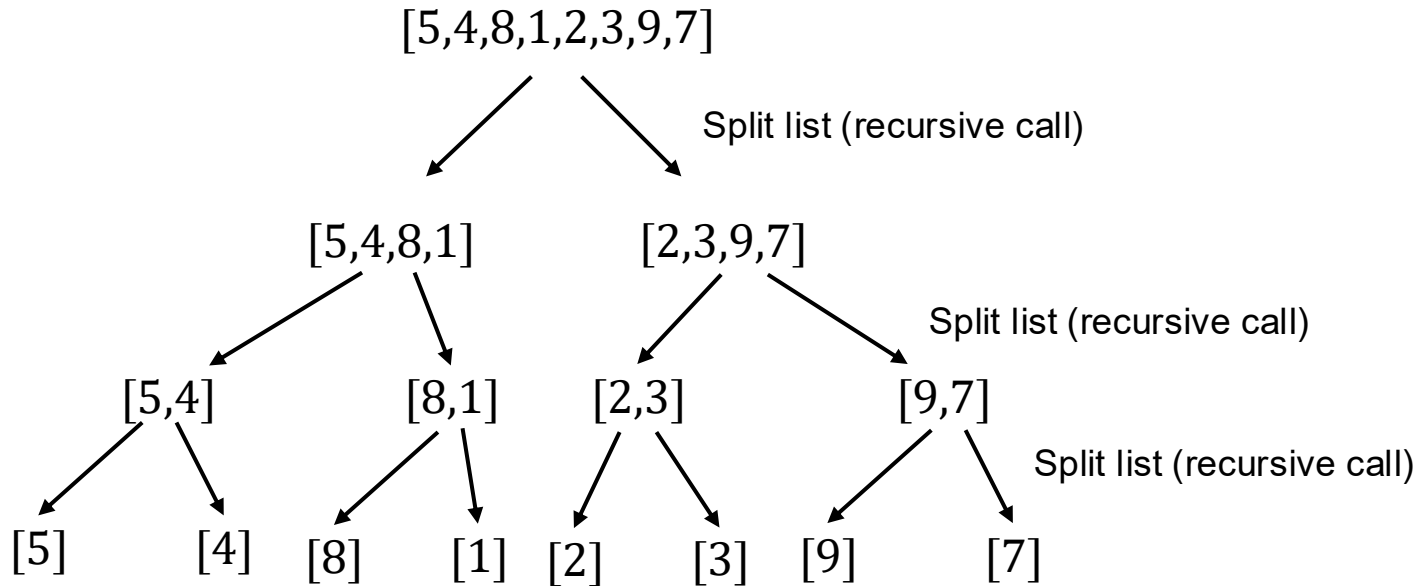
# MergeSort Example



# MergeSort Example



# MergeSort Example



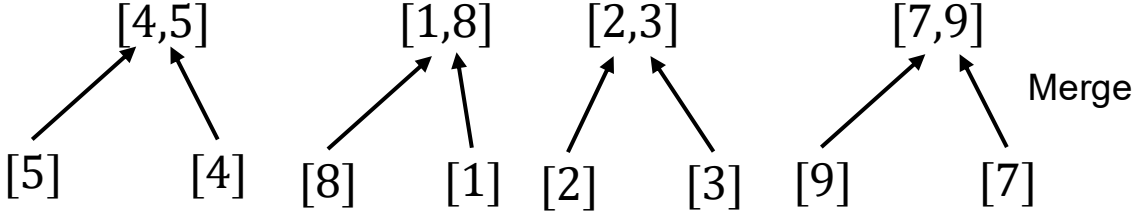
These lists are now sorted!

# MergeSort Example

[5]      [4] [8]    [1] [2]    [3] [9]    [7]

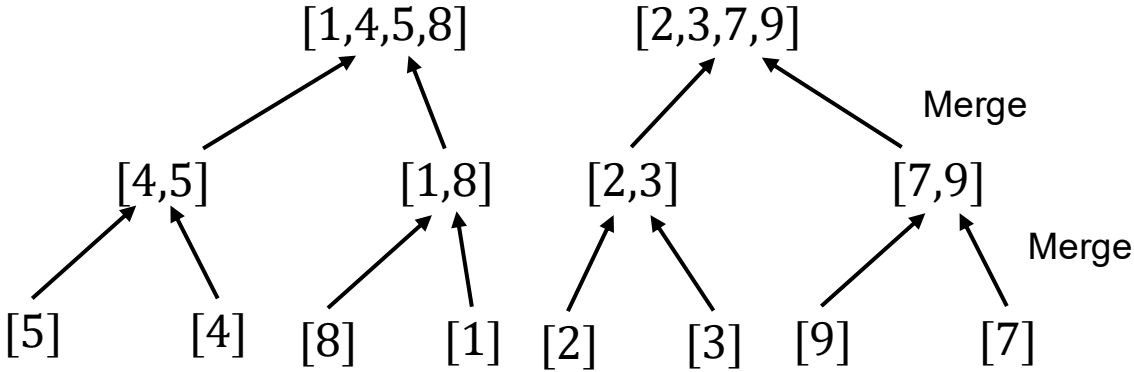
Let's recombine them

# MergeSort Example



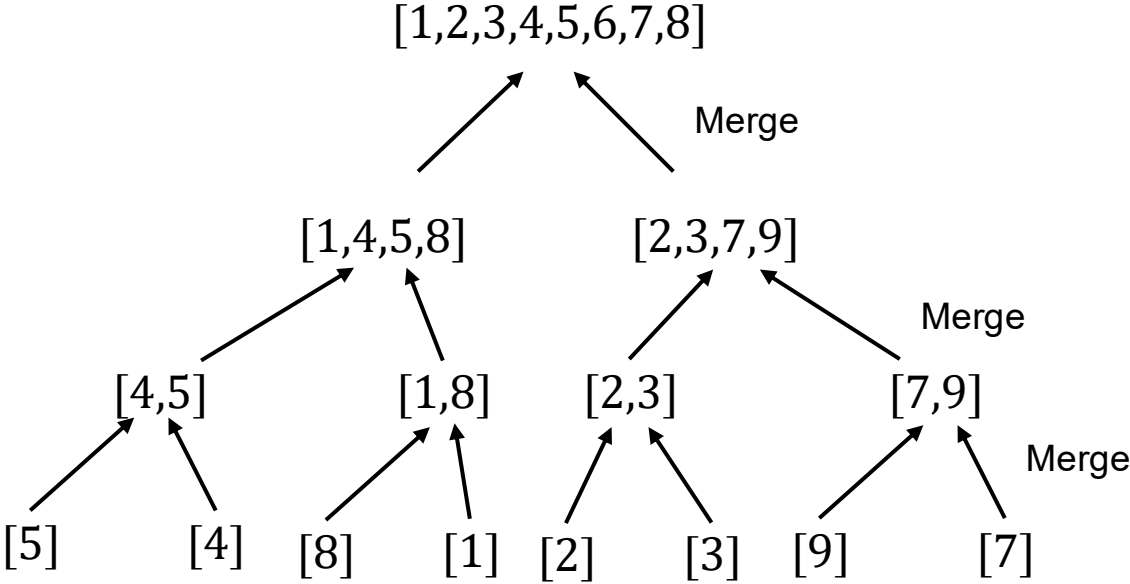
Let's recombine them

# MergeSort Example



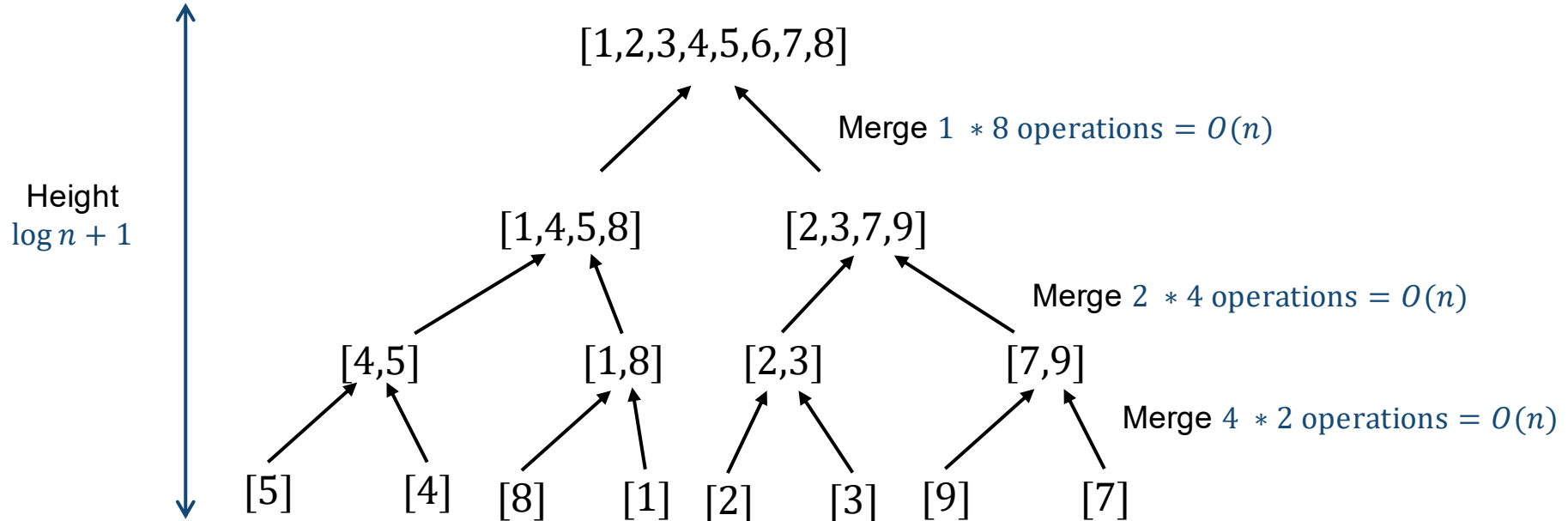
Let's recombine them

# MergeSort Example



Let's recombine them

# MergeSort Example



Total Running Time:  $O(n \log n)$

# MergeSort (Recursive Formulation)

Problem: given a list  $L$  of  $n$  unique items, arrange them in ascending order

MergeSort( $L$ ):

**if**  $L$  has one item **do**  
    **return**  $L$

Divide  $L$  into two halves  $A, B$

$A \leftarrow \text{MergeSort}(A)$

$B \leftarrow \text{MergeSort}(B)$

$L \leftarrow \text{Merge}(A, B)$

**return**  $L$

# MergeSort (Recursive Formulation)

Problem: given a list  $L$  of  $n$  unique items, arrange them in ascending order

Let  $T(n)$  be the worst-case running time of MergeSort( $L$ )

MergeSort( $L$ ):

**if**  $L$  has one item **do**  
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Base case:  $O(1)$

Divide  $L$  into two halves  $A, B$

$A \leftarrow \text{MergeSort}(A)$

$B \leftarrow \text{MergeSort}(B)$

Recursive cases:  $T(n/2)$

$L \leftarrow \text{Merge}(A, B)$

Combination step:  $\Theta(n)$

**return**  $L$

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MergeSort( $L$ ):

**if**  $L$  has one item **do**  
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Base case:  $O(1)$

$$T(n) = 2T(n/2) + \Theta(n)$$

Divide  $L$  into two halves  $A, B$

$A \leftarrow \text{MergeSort}(A)$

$B \leftarrow \text{MergeSort}(B)$

Recursive cases:  $T(n/2)$

$L \leftarrow \text{Merge}(A, B)$

Combination step:  $\Theta(n)$

**return**  $L$

# Recurrence Relations

A way of representing a function in terms of smaller values of the function

❖ Example:  $T(n) = T(n - 1) + 5$ ;  $T(1) = 1$

Recursive case

Base case

❖ To be well defined, computing the recursive case must end in a base case

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Recursive case

Base case

❖ To be well defined, computing the recursive case must end in a base case

$$T(3) = T(2) + 5 = T(1) + 2 * 5 = 1 + 2 * 5$$

❖ Extrapolating from this, we can write  $T(n) = 1 + 5(n - 1)$

# Recurrence Relations

A way of representing a function in terms of smaller values of the function

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Recursive case

Base case

❖ To be well defined, computing the recursive case must end in a base case

$$T(8) = T(4) + 5 = T(2) + 2 * 5 = T(1) + 3 * 5 = 1 + 3 * 5$$

❖ Extrapolating from this, we can write  $T(n) = 1 + 5 \log n$

# Recurrence

$$T(n) = 2T(n/2) + \Theta(n)$$

- ❖  $T(n)$  is defined in terms of small values of  $n$
- ❖ For base case:  $T(1)$  is  $O(1)$

Goal: "solve" the recurrence by finding a simple expression for  $T(n)$  for all  $n$

# Recurrence

Recurrence:

$$\blacklozenge T(n) = 2T(n/2) + O(n)$$

$$\blacklozenge T(1) = O(1)$$

Let's the use definition on Big-O:

$$\blacklozenge T(n) \leq 2T(n/2) + cn$$

$$\blacklozenge T(1) \leq c$$

# Unrolling

Idea: "unroll" the recurrence

$$\begin{aligned}T(m) &\leq 2T(m/2) + cm \\ &\leq 2[2T(m/4) + c(m/2)] + cm \\ &= 4T(m/4) + 2cm \\ &\leq 4[2T(m/8) + c(m/4)] + 2cm \\ &= 8T(m/4) + 3cm \\ &\leq \dots \\ &\dots \\ &= mT(m/m) + \log_2 m \cdot cm \\ &\leq mT(1) + \log_2 m \cdot cm \\ &\leq mc + \log_2 m \cdot cm \\ &= O(m \log m)\end{aligned}$$

definition of  $T(n)$  for  $n = m$

definition of  $T(n)$  for  $n = m/2$

definition of  $T(n)$  for  $n = m/4$

continue until we hit the base case

definition of  $T(1)$

## Exercise 2

Q: suppose we have the recurrence  $T(n) = T(n/2) + T(n/3)$ . What do we get after two unrollings?

- a.  $T(n/4) + T(n/9)$
- b.  $T(n/4) + 2T(n/6) + T(n/9)$
- c.  $2T(n/6)$
- d.  $T(n/4) + T(n/6) + T(n/9)$

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- c.  $2T(n/6)$
- d.  $T(n/4) + T(n/6) + T(n/9)$


# Maximum Subsequence Sum

Input: a list  $L$  of  $n$  numbers

Goal: find the value of the largest subsequence sum (including empty subsequence)

Example

❖  $L = [4, -1, 8, -2, 3, -5, 1, -5]$



❖ MSS: 14 (sum of first five elements)

# Exercise 3

Which of the following are true for a maximum sum subsequence?

- a. It has more positive than negative numbers
- b. It does not start or end with a negative number
- c. Any maximal subsequence of negative numbers is bordered by a subsequence of positive numbers with a larger sum in absolute value

# Exercise 3

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# Baseline MSS Algorithm

Let's come up with a baseline algorithm to solve the MSS problem

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❖ Idea: check all subsequences

```
MSS_baseline(L):  
   $n \leftarrow \text{len}(L)$   
  Initialize  $A$  as an  $n \times n$  matrix of zeroes  
  for  $i = 1$  to  $n$  do  
    sum  $\leftarrow 0$   
    for  $j = i$  to  $n$  do  
      sum +=  $L[j]$   
       $A[i, j] \leftarrow$  sum  
  return  $\max_{i, j} A[i, j]$ 
```

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Let's come up with a baseline algorithm to solve the MSS problem

❖ Idea: check all subsequences

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**for**  $i = 1$  to  $n$  **do**

$\text{sum} \leftarrow 0$

**for**  $j = i$  to  $n$  **do**

$\text{sum} += L[j]$

$A[i, j] \leftarrow \text{sum}$

**return**  $\max_{i, j} A[i, j]$

Running time:  $O(n^2)$

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      sum +=  $L[j]$   
       $A[i, j] \leftarrow$  sum  
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```

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Can we do better?

# Divide-and-Conquer for MSS

Recursive algorithm for MSS

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Recursive algorithm for MSS

Idea:

- ❖ Find MSS  $X$  in left half of list
- ❖ Find MSS  $Y$  in right half of list
- ❖ Find MSS  $Z$  for subsequences that cross the midpoint of list

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$$L = [4, -1, 8, -2, 3, -5, 1, -5]$$
$$X = 13; Y = 3; Z = 14$$

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Recursive algorithm for MSS

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Recursive algorithm for MSS

Idea:

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$$L = [4, -1, 8, -2, 3, -5, 1, -5]$$
$$X = 13; Y = 3; Z = 14$$

- ❖ Return maximum of  $X, Y, Z$
- ❖ How do we find  $X, Y, Z$ ?

# Divide-and-Conquer for MSS

$MSS\_dc(L, low, high)$ :

**if**  $high == low$  **do**

**return**  $L[low]$

$mid \leftarrow \left\lfloor \frac{low+high}{2} \right\rfloor$

$X = MSS\_dc(L, low, mid)$

$Y = MSS\_dc(L, mid + 1, high)$

**return**  $\max(X, Y, Z)$

# Divide-and-Conquer for MSS

MSS\_dc( $L, low, high$ ):

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$X = \text{MSS\_dc}(L, low, mid)$

$Y = \text{MSS\_dc}(L, mid + 1, high)$

**return**  $\max(X, Y, Z)$

$sum \leftarrow 0; X' \leftarrow 0$

**for**  $i = mid$  to  $low$  **do**

$sum += L[i]$

$X' = \max(sum, X')$

$sum \leftarrow 0; Y' \leftarrow 0$

**for**  $j = mid + 1$  to  $high$  **do**

$sum += L[j]$

$Y' = \max(sum, Y')$

$Z = X' + Y'$

# Divide-and-Conquer for MSS

MSS\_dc( $L, low, high$ ):

**if**  $high == low$  **do**

**return**  $L[low]$

Base case:  $O(1)$

$mid \leftarrow \lfloor \frac{low+high}{2} \rfloor$

$X = \text{MSS\_dc}(L, low, mid)$

$Y = \text{MSS\_dc}(L, mid + 1, high)$

Recursive cases

$sum \leftarrow 0; X' \leftarrow 0$

**for**  $i = mid$  to  $low$  **do**

$sum += L[i]$

Compute left part of  $Z$

$X' = \max(sum, X')$

$sum \leftarrow 0; Y' \leftarrow 0$

**for**  $j = mid + 1$  to  $high$  **do**

$sum += L[j]$

Compute right part of  $Z$

$Y' = \max(sum, Y')$

$Z = X' + Y'$

Compute  $Z$

**return**  $\max(X, Y, Z)$

Return max

# Running Time

Let  $T(n)$  be the running time of  $\text{MSS\_dc}(L)$  on a list of size  $n$

- ❖ Base case is  $O(1)$
- ❖ Two recursive calls on arrays of size  $n/2$ :  $2T(n/2)$
- ❖ Work outside of recursive calls:  $O(n)$

# Running Time

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Therefore,  $T(n) = 2T(n/2) + O(n)$

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- ❖ Work outside of recursive calls:  $O(n)$

Therefore,  $T(n) = 2T(n/2) + O(n)$

Implies the running time is  $O(n \log n)$

# Next Time

- ❖ Running time of more complex divide and conquer approaches