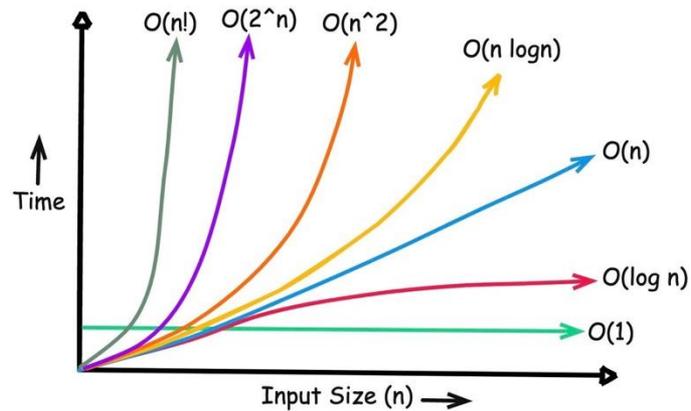


# Lecture 3

## Algorithm Analysis II



# Algorithm Design

1. Formulate the problem precisely
2. Design an algorithm
3. Prove the algorithm is correct
4. Analyze its running time

# Big-O Definition

**Definition:** The function  $T(n)$  is  $O(f(n))$  if there exists constants  $c > 0$  and  $n_0 \geq 0$  such that

$$T(n) \leq cf(n) \text{ for all } n \geq n_0$$

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**Example:**

$$\begin{aligned} T(n) &= 2n^2 + n + 2 \\ &\leq 2n^2 + n^2 + 2n^2 \text{ for } n \geq 1 \\ &= 5n^2 \end{aligned}$$

$c$        $f(n)$        $n_0$

So  $T(n)$  is  $O(n^2)$

# Exercise I

Let  $T(n) = 3n + 17 \log_2 n + 1000$ . Which of the following are true?

(Hint: it could be more than one)

- i.  $T(n)$  is  $O(n^2)$
- ii.  $T(n)$  is  $O(n)$
- iii.  $T(n)$  is  $O(\log n)$

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Big-O bounds do not need to be tight!

# Examples

- ❖ If  $T(n) = n^2 + 10^6n$  then  $T(n)$  is  $O(n^2)$
- ❖ If  $T(n) = n^3 + 3n \log n$  then  $T(n)$  is  $O(n^3)$
- ❖ If  $T(n) = n + 6$  then  $T(n)$  is **not**  $O(1)$

# What Does Big-O Mean?

## Worst-case analysis

- ❖ Running time guarantee for any input of size  $n$
- ❖ Typically captures computational complexity in practice

## Alternatives

- ❖ Average-case analysis
- ❖ Expected running time of a randomized algorithm
- ❖ Amortized (considering a sequence of operations)



Either not general  
enough or unwieldy

# How to Use Big-O

- ❖ Study pseudocode to determine running time  $T(n)$  for an algorithm as a function of  $n$

$$T(n) = 2n^2 + n + 2$$

- ❖ Prove that  $T(n)$  is upper-bounded by a simpler function using big-O definition:

$$\begin{aligned} T(n) &= 2n^2 + n + 2 \\ &\leq 2n^2 + n^2 + 2n^2 \text{ for } n \geq 1 \\ &= 5n^2 \end{aligned}$$

- ❖ Next time, we will develop properties that simplify proving big-O bounds
  - ❖ You've likely come across some already in Data Structures!

# Big-O in Practice

A way to categorize the growth rate of functions relative to other functions

❖ Not "**the** running time of my algorithm"

Correct Usage:

❖ The worst-case running time of the algorithm with input size  $n$  is  $T(n)$

❖ Suppose  $T(n)$  is  $O(n^3)$

❖ The running time of the algorithm is  $O(n^3)$

Incorrect Usage:

❖  $O(n^3)$  is **the** running time of the algorithm

# Properties of Big-O

**Claim (Transitivity):** If  $f$  is  $O(g)$  and  $g$  is  $O(h)$ , then  $f$  is  $O(h)$

Example:

$$\diamond \underbrace{2n^2 + n + 1}_{f(n)} \text{ is } O(\underbrace{n^2}_{g(n)})$$

$$\diamond \underbrace{n^2}_{g(n)} \text{ is } O(\underbrace{n^3}_{h(n)})$$

$$\diamond \text{ Therefore, } 2n^2 + n + 1 \text{ is } O(n^3)$$

# Transitivity Proof

**Claim (Transitivity):** If  $f$  is  $O(g)$  and  $g$  is  $O(h)$ , then  $f$  is  $O(h)$

**Proof:** We know from the definition of Big-O that

$$\blacklozenge f(n) \leq cg(n) \text{ for all } n \geq n_0$$

$$\blacklozenge g(n) \leq c'h(n) \text{ for all } n \geq n'_0$$

Let  $n'' = \max\{n, n'_0\}$ . Therefore, for all  $n \geq n''$ ,

$$\begin{aligned} f(n) &\leq cg(n) \\ &\leq c(c'h(n)) \\ &= cc'h(n) \\ &= c''h(n). \end{aligned}$$

# Properties of Big-O

## Claims (Additivity):

❖ If  $f$  is  $O(h)$  and  $g$  is  $O(h)$ , then  $f + g$  is  $O(h)$

$$3n^2 + n^4 \text{ is } O(n^5)$$

❖ If  $f$  is  $O(g)$ , then  $f + g$  is  $O(g)$

$$n^3 + 23n + n \log n \text{ is } O(n^3)$$

# Significance of Additivity

- ❖ Okay to drop lower order terms

$$3n^2 + n \log n + 2n^4 \text{ is } O(n^4)$$

- ❖ Polynomials: Only the highest-degree term matters (with positive coefficient)
- ❖ You are using additivity when you ignore the running time of statements outside of for loops!

# Other Useful Facts

**Fact:**  $\log_b n$  is  $O(n^d)$  for all  $b > 1, d > 0$

❖ All polynomials grow faster than logarithm of any base

**Fact:**  $n^d$  is  $O(r^n)$  when  $r > 1$

❖ Exponential functions grow faster than polynomials

# Logarithm Review

**Definition:**  $\log_b n$  is the unique number  $c$  such that  $b^c = n$

Informally: the number of times you can divide  $n$  into  $b$  parts until each part has size one

Properties:

❖ Log of product equals sum of logs

$$\text{❖ } \log(xy) = \log(x) + \log(y)$$

$$\text{❖ } \log(x^k) = k \log(x)$$

❖  $\log_b(\cdot)$  is the inverse of  $b^{(\cdot)}$

$$\text{❖ } \log_a n = (\log_a b) \log_b n$$

# "Good" Running Time

## Inefficiency

- ❖ We said that  $2^n$  steps or worse is unacceptable in practice
- ❖ i.e.  $O(2^n)$  or exponential running time is inefficient



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- ❖ An algorithm is *efficient* if it has a polynomial running time
- ❖ i.e.  $O(n^k), k \geq 0$



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## Exceptions

- ❖ Some poly-time algorithms have large constants and exponents
- ❖ We sometimes use exponential-time algorithms when their worst case does not arise in practice



# Exercise II

Suppose  $f$  is  $O(g)$ . Which of the following is true?

- i.  $g$  is  $O(f)$
- ii.  $g$  is not  $O(f)$
- iii.  $g$  may be  $O(f)$ , depending on  $f$  and  $g$

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# Big- $\Omega$ Definition

Informally,  $T$  grows at least as fast as  $f$

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What's the difference between Big-O and Big-Ω?

# Next Time

- ❖ Begin looking at tools for analyzing algorithms, e.g., Big-O notation